

Strain Detection in III-V Semiconductors Using Polarization Resolved Photoluminescence

By Paul D. Colbourne and Daniel T. Cassidy, McMaster University, Department of Engineering Physics, Hamilton, Ontario, Canada

Mechanical strain, *i.e.*, a deformation of the crystal lattice caused by an external stress, can affect the reliability and performance of III-V semiconductor devices. The refractive index is a function of strain and, thus, so are the optical guiding properties of devices. Diode lasers, LEDs, and transistors are thought to degrade more rapidly if processing induced stresses exceed a threshold level.¹

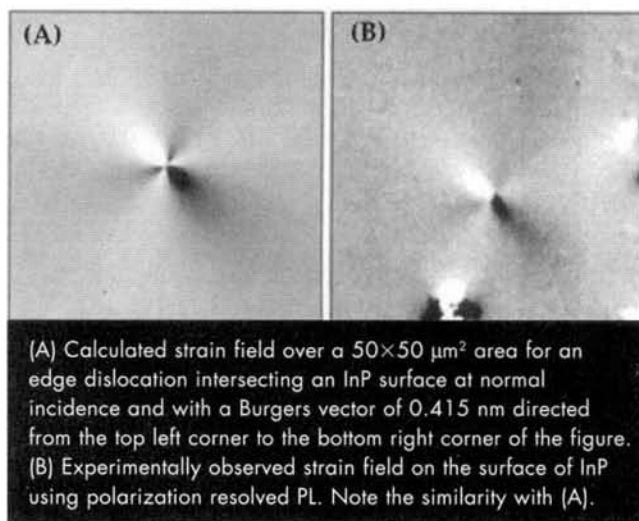
Traditionally, strain in semiconductors is measured using techniques that are destructive or that lack the spatial resolution and/or strain resolution to detect the strain fields around the micrometer-sized features of devices.^{2,3}

In luminescent III-V material, strain that reduces the symmetry of the crystal lattice can be detected by analyzing the degree of polarization of spontaneously emitted light.⁴ The rate of emission of light polarized parallel to the direction of a strain is greater than the rate of emission of light polarized perpendicular to the direction of a strain for a compressive, uniaxial strain. By comparing rates of emission of light along two orthogonal directions, strains as small as 3×10^{-6} can be measured. The difference in the rate of emission can be measured accurately with a polarizing beamsplitter cube, two optical detectors, and two lock-in amplifiers.

The light to be analyzed can be created by photoluminescence (PL). With PL, maps of the strain field over a surface of a semiconductor can be obtained with a spatial resolution of 1 μm . The PL technique has been used to measure stress distributions in InGaAsP and AlGaAs diode lasers and LEDs. Strain fields caused by metallization layers, dielectric layers, ridge structures, and soldering to heatsinks have been obtained.⁵

Polarization-resolved PL is capable of detecting the strain field around individual dislocations in III-V semiconductors.⁶ The Burgers vector and type of the dislocation can be obtained from an analysis of the strain pattern. Dislocations play an important role in the yield of reliable devices. Therefore, techniques to detect and to characterize dislocations are required. Polarization-resolved PL offers a simple, relatively inexpensive, non-destructive technique for detecting and characterizing dislocations, including misfit dislocations in strained epitaxial layers. The nondestructive nature of the technique is significant since it enables screening of substrate materials and epitaxial layers before processing.

Part (a) of the figure is a theoretical plot of the strain field about an edge dislocation that has penetrated the free surface of an InP sample. Part (b) is an observed strain field on an InP sample over a $50 \times 50 \mu\text{m}^2$ square area. The data



was obtained using polarization resolved PL. Note that the strain field is observable for a diameter of 30 μm about the dislocation core. For this dislocation, the PL signal was suppressed by 10% for a diameter of one μm about the dislocation core.

REFERENCES

1. K. Maeda *et al.*, "Radiation enhanced dislocation glide and rapid degradation" in *Degradation Mechanisms in III-V Compound Semiconductor Devices and Structures*. VI. Series: Materials Research Society symposium proceedings: v. 184, V. Swaminathan *et al.*, eds., Materials Research Society, 1990.
2. A. Jakubowicz, "Revealing process-induced strain fields in GaAs/AlGaAs lasers via electron irradiation in a scanning electron microscope," *J. Appl. Phys.* 70, 1991, 1800-1805.
3. *Defect Recognition in Semiconductors Before and After Processing*, Proceedings of the Fourth International Conference, *Semicond. Sci. Technol.* 7:1A, 1992.
4. D.T. Cassidy and C.S. Adams, "Polarization of the output of InGaAsP semiconductor diode lasers," *IEEE J. Quantum Electron.* 25, 1989, 1156-1160.
5. P.D. Colbourne and D.T. Cassidy, "Imaging of stresses in GaAs diode lasers using polarization-resolved photoluminescence," *IEEE J. Quantum Electron.*, accepted April 1992 for publication.
6. P.D. Colbourne and D.T. Cassidy, "Observation of dislocation stresses in InP using polarization-resolved photoluminescence," *Appl. Phys. Lett.*, to be published.

Laser Diode Delivers Atomically-Resolved Images

By Dror Sarid and Paul Pax, Optical Sciences Center, University of Arizona, Tucson, Ariz., and Virgil Elings and Dan Bocek, Digital Instruments, Santa Barbara, Calif.

Optical microscopy has a diffraction-limited resolution determined by the wavelength of the laser and the numerical apertures of the objective and condenser. Even for the best optical microscope, this resolution is limited to several hundreds of nanometers. It has recently become possible to circumvent diffraction limitations and increase the resolu-